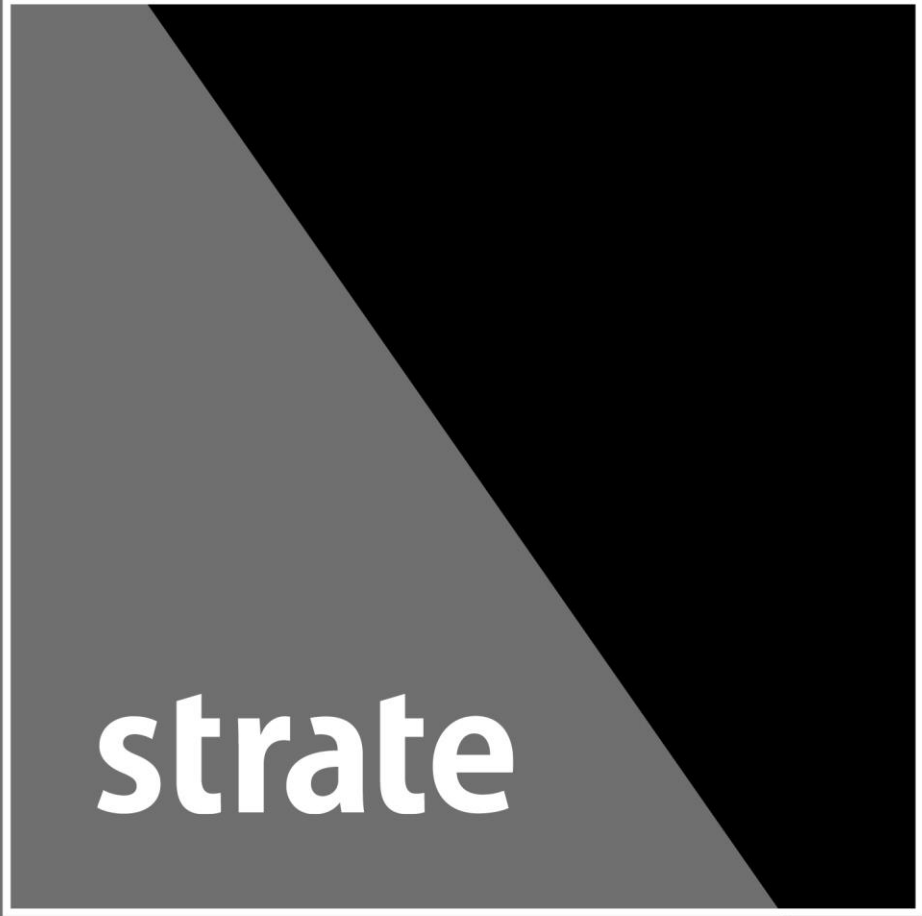


Directive of Strate (Pty) Ltd

Special Gazette No. S18-2025
Strate Directive SA.8

File Layout for Beneficial Interest Register - Equities,
Bonds and Participatory Interests

The logo consists of a white rectangular border containing the word "strate" in a bold, lowercase, sans-serif font. The background of the entire page features a diagonal split: the upper-left portion is dark grey, and the lower-right portion is black. A teal-colored triangle is visible in the bottom right corner, partially overlapping the black area.

strate

To provide for the procedures and file layouts for the disclosure of beneficial interests on the beneficial interest register

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Index

1. INTERPRETATION AND DEFINITIONS
2. INPUT FILE LAYOUTS
3. OUTPUT FILE LAYOUTS

1. Interpretation and Definitions

This Strate Directive should be read in conjunction with Strate Directive SZ.1 - *Interpretation and Definitions*.

1.1 The following definitions are specific to this Strate Directive:

‘C’ means conditional, indicating that the particular information must be provided to Strate for certain account types, or where a related field has been completed;

‘M’ means mandatory, indicating that the particular information must be provided to Strate; and

‘O’ means optional, indicating that the particular information may be provided to Strate.

1.2 The account types specified in this directive have the following meanings:

Account Type	Description	Definition
1	Own Name Account	means a securities account in a client’s own name
2	Participant Nominee Account	means an account in the name of a Participant’s nominee under which the aggregate securities holdings of clients are recorded
3	Beneficiary Account	means an account in which the beneficial interest holder underlying a nominee account (i.e. account types 2, 4 ,5, 7, 8, 9 and 10) is recorded
4	Authorised User Nominee Account	means an account in the name of an Authorised User’s nominee under which the aggregate securities holdings of clients are recorded
5	FSCA Approved / Strate Recognised Nominee Account	means an account in the name of a nominee licensed by the FSCA, and recognised by Strate, under which the aggregate holdings of clients are recorded
6	SDA	means a designated central securities account for securities, which is opened in the name of a client, administered by a Participant, and which is clearly segregated and distinguishable from a Participant’s central securities account

7	SDA: Participant Nominee Account	means an SDA in the name of a Participants' nominee
8	SDA: Authorised User Nominee Account	means an SDA in the name of an Authorised User's nominee
9	SDA: FSCA Approved / Strate Recognised Nominee Account	means an SDA in the name of a nominee licensed by the FSCA and recognised by Strate
10	FSCA Approved / Strate Recognised Nominee holding a Securities Account under an Authorised User Nominee Account	means an Authorised User nominee account under which the securities holdings of a nominee licensed by the FSCA, and recognised by Strate, are recorded, as well as the beneficial interest holders underlying those nominees are recorded

- 1.3 In order to ensure that Nominees are capable of providing the Beneficial Interest Register (BIR) to Strate when required to do so, Strate Directive SA.7 – *Requirements for Nominees to Operate in the Strate Environment* sets out the standards that a Nominee must comply with.

1.4 Procedures

- 1.4.1 In terms of the Strate Rules, this Strate Directive is binding on Participants and Clients of Participants. Failure to comply with this Directive may result in a fine as determined by the applicable fine schedule.
- 1.4.2 The BIR for every ISIN is scheduled to be produced weekly with the last Business Day of the week being the Holdings Date.
- 1.4.3 All information provided in terms of this directive must be accurate, complete and in the format stipulated herein.
- 1.4.4 An on-demand BIR may be requested by an Issuer or Issuer Agent for any date in the future on 48 (forty-eight) hours' notice to Strate. Strate charges an additional administration fee for on-demand BIRs. In addition, each entity that produces a file for an on-demand BIR is entitled to charge a fee per line of information that is provided. Both Strate's fee and the Nominee's fee are set out in the applicable fee schedule.
- 1.4.5 Strate will:
- 1.4.5.1 advise the Participants, Nominees and where applicable, the relevant Exchanges in respect of the Authorised Users, of the Holdings Date for the BIR; and

- 1.4.5.2 request the Participants, Nominees and where applicable, the relevant Exchanges in respect of the Authorised Users, to provide Strate with the BIR as at the Holdings Date.
- 1.4.6 A Participant, Authorised User and Nominee must produce its BIR files during or after the processing of its EOD run on Holdings Date.
- 1.4.7 A Participant must ensure that its aggregate holdings per ISIN balance to the holdings in its CSA in the records of Strate.
- 1.4.8 A Nominee must ensure that its aggregate holdings per ISIN balance to the holdings in its Securities Accounts.
- 1.4.9 For scheduled and on-demand reports, a Participant, Authorised User and Nominee must send the BIR files to Strate via Secure FTP or other Secure mechanism by 11H00 on the Business Day following the Holdings Date (HD+1).
- 1.4.10 Once it has received all the files, Strate validates and collates the data.
- 1.4.11 Where data fails validation, Strate produces a validation failure report.
- 1.4.12 Strate sends the validation failure report to the relevant party indicating that the input file has failed validation. The validation failure report identifies the rows in which the errors occurs. Where a file fails validation, Strate is unable to produce the BIR.
- 1.4.13 A reconciliation report highlighting the difference per ISIN between a Participant and Strate, or between a Nominee or Authorised User and its Participant, is also produced where applicable. This includes data quality errors. Where a file fails reconciliation, Strate is able to produce the BIR, although the BIR will contain incorrect information in some fields.
- 1.4.14 For validation failures, a corrected BIR file must be sent to Strate via a Secure FTP or other Secure mechanism within 1 (one) hour of receipt of a validation failure report advising of the errors, unless otherwise agreed to by Strate.
- 1.4.15 The validation process is repeated until such time as there are no validation errors and an acceptable level of reconciliation has been achieved.
- 1.4.16 Subject to there being no validation errors, Strate produces the final scheduled BIR for distribution as soon as it is available. An on-demand BIR is distributed on HD+1.
- 1.4.17 Strate provides the final BIR to the Issuer or Issuer Agent via Secure FTP or other Secure mechanism.
- 1.4.18 Where a CSA or SDA is maintained for a beneficial Interest holder (account type 6, 7, 8 or 9), a Participant may elect not to send a BIR for that CSA or SDA.

2. Input File Layout

The file layouts must be used in all instances, including those where a Participant and/or Authorised User has a Client who has been approved as a Nominee in terms of Sections 76(1)(b) and 76(3) of the Act and Strate Directive SA.7 – *Requirements for Nominees to Operate in the Strate Environment*. Participants may use either Participant Layout A or B.

2.1 PARTICIPANT LAYOUT A (SINGLE FILE PER CSA)

Srl No	Name	M/O/C	Field descriptions
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Mandatory Sequence A File Information

1	Record Type Indicator	M	1n Value: 1
2	File Name	M	23x
3	File Sender ID	M	8x The 8 character BPID of the Participant in the Strate System used for Settlement of equity and bond Securities and Units as applicable
4	Sender Name	M	35x
5	Sender Address	M	4*35x (each line to be padded with trailing spaces where appropriate)
6	Sender Postal Code	M	13x Official postal code standards to be used NB. Trailing spaces to be used where necessary Field is left justified
7	Sender Email Address	M	70x
8	Contact Person	M	35a
9	Contact Phone	M	24x
10	Holdings Date	M	8n (YYYYMMDD)
11	Central Securities Account	M	8n The 8 digit Central Securities Account of the Participant in the Strate System used for Settlement of equity and bond Securities and Units as applicable

12	Preparation Date	M	8n (YYYYMMDD)
13	Newline character	M	Newline Character only
	Total number of characters sequence A		373

End of Sequence A File Information

Mandatory Repetitive Sequence B1 Client details

14	Record Type Indicator	M	1n Value: 2
15	Account type	M	1n Values: 1 – Own Name Account 2 – Participant Nominee Account 3 – Beneficiary Account 4 – Authorised User Nominee Account 5 – FSCA Approved/Strate Recognised Nominee Account 6 – SDA 7 – SDA: Participant Nominee Account 8 – SDA: Authorised User Nominee Account 9 – SDA: FSCA Approved/Strate Recognised Nominee Account
16	Authorised User BIC Code or FSCA Approved/Strate Recognised Nominee BIC code if available, or unique number as agreed with the Authorised User or FSCA Approved/Strate Recognised Nominee	C	11x (Mandatory when Account type is 4, 5, 8 or 9, not present for other Account types)

17	Registered Account number	M	16x
18	Beneficiary Account number	C	16x (Mandatory when Account type is 3, not present for other Account types)
19	Client Name	M	2*35x
20	Title	C	10x Mandatory, if it is a natural person
21	Address	M	4*35x (each line to be padded with trailing spaces where appropriate)
22	Postal Code	M	13x Official postal code standards to be used NB. Trailing spaces to be used where necessary Field is left justified
23	Email address	C	70x (If Annual Report Indicator is 03 then this field is Mandatory)
24	Contact Phone	O	24x Phone number may be provided if available
26	Country Code	C	2a (mandatory when Account type is 1, 3 or 6, but not mandatory for other Account types)
27	Classification Code	C	4x (mandatory when Account type is 1, 3 or 6, but not mandatory for other Account types)
28	Consolidated Account Owner	O	2*35x The name of the Asset / Investment / Fund Manager

29	Language Indicator	O	2n Values: 01 – 11 01 – English 02 - Afrikaans Default is 01 (only 01 or 02 to be populated at this stage)
30	Client Category	M	4!c Valid Values: 0001 – RSA Citizens 0002 – RSA Permanent Residents 0003 – Emigrants 0004 – Foreign Citizens 0005 – RSA Close Corporations 0006 – RSA Companies 0007 – Foreign Companies 0008 – Other Legal Persons 0009 – Trusts
31	Client Identifier 1	M	30x Valid Values: 13!x (followed by trailing spaces) RSA ID Number for Categories: 0001, 0002 and 0003 30x Personal Reference Number for Category: 0004 30x Company Registration Number for Categories: 0005, 0006 & 0007 30x Legal Form Reference Number for Category: 0008 30x Trust Deed Registration Number for Category: 0009 30x

32	Client Identifier 2	C	30x Valid Values: 10!x A tax number must be provided if the client has been issued with one Category 0008 – Untraceable Client does not require a tax number, in which case the field should be filled with spaces
33	Newline character	M	Newline Character only
	Total number of characters sequence B		514

End of Sequence B Client Details

Mandatory Repetitive Sequence B1 Holdings Details

34	Record Type Indicator	M	1n Value: 3
35	Identification of Securities	M	12x

36	Holdings	M	s15!d 12 integers followed by 3 decimal places with an implied comma. This field represents the holdings: S indicates that the field contains a sign, 15 indicates the number of characters present and d indicates the presence of decimals. The field is structured with leading zeros. The sign { carried at the end of the field indicates the holdings is positive. 893 (Positive) holdings would be reflected as:- 00000000089300{ Or as:- 000000000893000
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37	Report Indicator	M	2n Values 01 – 03, indicates 'Yes' 01 – Full report by mail 02 – Abridged report by mail 03 – Electronic Notification by e-mail (In accordance with section 32 of the Companies Regulations, 2011) 04 – Indicates 'No'. Not required (default)
38	Newline Character	M	Newline Character only
	Total number of characters sequence B1		30

End of sequence B1 Holdings Details

Mandatory Repetitive Sequence C Account Reconciliation Details

39	Record Type Indicator	M	1n Value: 4
40	Registered Account number	M	16x
41	Beneficiary Account number	C	16x (Mandatory when Account type is 3, not present for other Account types)
42	Total number of ISINs for Registered Account and Beneficiary Account combination	M	6n
43	Newline Character	M	Newline Character only
	Total number of characters sequence C		39

End of C Reconciliation Details

Mandatory Sequence D File Reconciliation Details

44	Record Type Indicator	M	1n Value: 5
45	File Name	M	23x

46	Total number of Registered Account and Beneficiary Account combination for the Central Securities Account	M	6n
	Total number of characters sequence D		30

End of Sequence D File Reconciliation Details

Field Specifications

1. Filename (Srl No 2)

Subfield	M/O/C	Field descriptions
Indicator	M	1a Value: C
Holdings Date	M	8n
Central Securities Account	M	8n The 8 character Central Securities Account of the Participant in the Strate System used for Settlement of equity and bond Securities as applicable
Serial number	M	2!n Values: 01-02 01-Full disclosure 02-Incremental disclosure

CARE: Institutions creating files directly from a mainframe application use the Mainframe Layout and those institutions creating the file from an open system use the Open System Layout. The mainframe naming standards require segments of the file name to be a maximum of 8 characters and the first character must commence with an alpha character.

The file must be sent to Strate in text file format and must be named as follows:

MAINFRAME LAYOUT

P.EYYMMDD.V3.ZXXXXXXX.YYYYYYYY (for the disclosure of equities and participatory interests)

P.DYYMMDD.V3.ZXXXXXXX.YYYYYYYY (for the disclosure of bonds)

P.EYYMMDD.V3.ZXXXXXXXX.YYYYYYYY.XXXXXXXXX.ZXXXX (on-demand for the disclosure of equities and participatory interests)

P.DYYMMDD.V3.ZXXXXXXXX.YYYYYYYY.XXXXXXXXX.ZXXXX (on-demand for the disclosure of bonds)

- P. is standard for all files;
- EYYMMDD. refers to the Holdings Date. The E preceding the date denotes equities and participatory interests, and the D denotes bonds;
- V3. refers to the version of the file;
- ZXXXXXXXX. refers to the Participant's CSA number, e.g. 20001791 is reflected as Z0001791, the Z supplanting the first digit of the CSA number;
- YYYYYYYY. refers to the Participant's BPID number, e.g. ZA100043;
- XXXXXXXX.ZXXXX. must be inserted when providing an on-demand disclosure and represents the ISIN being disclosed. The first 8 characters are followed by a dot and the last 4 characters must be preceded by a Z. In other words, ZAE000463458 is reflected as ZAE00046.Z3458 and GB0000566504 is reflected as GB000056.Z6504.

NB. The names must be in uppercase and the different sections of the filename are separated by a .(dot)

OPEN SYSTEMS LAYOUT

P.EYYMMDD.V3.XXXXXXXXX.YYYYYYYY (for the disclosure of equities and participatory interests)

P.DYYMMDD.V3.XXXXXXXXX.YYYYYYYY (for the disclosure of bonds)

P.EYYMMDD.V3.XXXXXXXXX.YYYYYYYY.ZZZZZZZZZZZZ (on-demand for the disclosure of equities and participatory interests)

P.DYYMMDD.V3.XXXXXXXXX.YYYYYYYY.ZZZZZZZZZZZZ (on-demand for the disclosure of bonds)

- P. is standard for all files;
- EYYMMDD. refers to the Holdings Date. The E preceding the date denotes equities and participatory interests, and the D denotes bonds;

- V3. refers to the version of the file;
- XXXXXXXX. refers to the Participant's CSA number e.g. 20001791;
- YYYYYYYY. refers to the Participant's BPID number e.g. ZA100043;
- ZZZZZZZZZZZZ. is the ISIN for an on-demand report.

NB. The names must be in uppercase and the different sections of the filename are separated by a .(dot)

2. File Sender ID (Srl No 3)

This is the BPID at Strate.

3. Central Securities Account (Srl No 11)

Where a CSA or SDA is maintained for a beneficial interest holder, a Participant may elect not to send a BIR for that CSA or SDA.

4. Newline Character (Srl No 13)

A newline character is a special character that is used to indicate the end of the line and to separate lines of text.

5. Registered Account Number (Srl No 17)

This is the account number of the Client in the books of the Participant.

6. Beneficiary Account Number (Srl No 18)

This is the Client account under the registered account in the books of the Participant.

7. Client Name (Srl No 19)

Notwithstanding the manner in which the account is recorded in the records of the Participant, Authorised User or Nominee, the Client's name in the BIR must indicate the

identity of the Beneficiary. In respect of Own Name Clients, the categories referred to in Strate Directive SA.4 – *Requirements for Own Name Clients*, are applicable.

Naming Standards

The following naming standards are applicable for listed categories of Clients:

- Private individuals – Surname followed by the full first names. A comma must be used between the surname and first names to more easily and correctly identify the name. The BIR format is 2*35x and the first names are to follow directly after the comma. In other words, the name may wrap into the second line if required. The second line is not to be used specifically for recording the first name/s.
- For an untraceable client, the words “Untraceable client” must be entered first, then a hyphen, and then the name of the client.
- Legal persons – the registered name of the entity.
- Account designations such as; “No. 2 A/c” or “Blocked Rand Account” must be recorded by inserting a comma after the first names followed by the account designation.
- Trust accounts – In terms of the Trust Property Control Act, 1988 (Act 57 of 1988), an account or investment at a financial institution must be identifiable as a trust account or trust investment. The recording of trusts is therefore as follows – AB Family Trust. To facilitate sorting of securities holders by name “The” is not recorded. In other words, The AB Family Trust must be recorded as AB Family Trust.
- Estate Late – Estate Late can be recorded as Estate Late followed by the first names and surname. Prefix of “Estate Late” in the name is not mandatory.

8. Title (Srl No.20)

The following titles may be used:-

Title Codes

Title Code	Description
ADMIN	Administrator
ADML	Admiral
ADV	Advocate
AJ	Appeal Judge
AMBASSADOR	Ambassador
BARON	Baron
BARONESS	Baroness
BRIG	Brigadier

BWYLE	Boedel Wyle (Afrikaans)
CAPT	Captain
CHIEF	Chief
CMDT	Commandant
COL	Colonel
COMM	Commander
COUNT	Count
COUNTESS	Countess
CURATOR	Curatorship
DAME	Dame
DR	Doctor
DS	Dominee (Afrikaans)
DUCHESS	Duchess
DUKE	Duke
EKS	Eksekuteur (Afrikaans)
EST LATE	Estate Late
ESTATE OF/THE ESTATE	Estate of/The Estate/Estate
EX	Executor
FATHER	Father
GEN	General
GENMAJ	General Major/Generaal Majoor (Afrikaans)
HON	Honourable
HRH	His or Her Royal Highness
INSP	Inspector/Inspekteur (Afrikaans)
INS EST	Insolvent Estate
JUDGE	Judge
JUSTICE	Justice
KAPT	Kaptein (Afrikaans)
KOL	Kolonel (Afrikaans)
KMDT	Kommandant (Afrikaans)
KONST	Konstabel (Afrikaans)
LADY	Lady
LORD	Lord
LT	Lieutenant
LT GENL	Lieutenant General
LT COL	Lieutenant Colonel
MADAM	Madam
MAJ	Major
MAJ GEN	Major General
MASTER	Master
ME	Mejuffrou/Mevrou (Afrikaans)
MEJ	Mejuffrou (Afrikaans)
MESSRS	Messieurs (male plural)
MEV	Mevrou (Afrikaans)
MRS	Mistress (female married singular)
MISS	Miss
MNR	Meneer (Afrikaans)
MR	Mister

MS	Miss
PASTOR/PSTR	Pastor
PASTOOR/PSTR	Pastoor (Afrikaans)
PRINCE	Prince
PRINCESS	Princess
PROF	Professor
RABBI	Rabbi
REGTER	Regter (Afrikaans)
REV	Reverend
SEN	Senator
SER	Sersant (Afrikaans)
SGT	Sergeant
SIR	Sir
SISTER	Sister
OTHER	For other title not listed above

9. Address (Srl No 21 & 22)

A valid address conforming to accepted postal standards, including a postal code, must be provided. If no postal code is available, the field must be populated with four zeroes, i.e. 0000.

NB. The use of any variations of: 'By Hand', 'Do Not Mail', 'Returned Post', 'Internal Mail', 'Fax numbers' or 'Hand to: Mr X' in the address field, or an incomplete address, is not permitted.

10. E-mail Address (Srl No 23) – Also see the description for Srl No 37

If the Beneficiary requires communication by e-mail this field is mandatory. In other words, the field is populated with the e-mail address of the Beneficiary if the mail option selected is 03.

NB. In terms of the Companies Act, 2008, an Issuer has the responsibility to give notices to, and/or to communicate with, its securities holders, and the securities holders have the right to receive notices from the Issuer.

11. Classification Code (Srl No 27)

SECTOR	
CODE	INSTITUTIONAL SECTOR CODE
	<i>FOREIGN</i>

0100	Non-resident individuals & companies
	<i>MONETARY AUTHORITY</i>
0200	South African Reserve Bank & Corporation for Public Deposits
	<i>OTHER MONETARY INSTITUTIONS</i>
0300	Banks, Mutual Banks, Landbank & Postbank
	<i>PUBLIC INVESTMENT COMMISSIONERS</i>
0400	Public Investment Corporation (PIC)
	<i>INSURERS</i>
0510	Long Term Insurers
0520	Short Term Insurers (including Medical Schemes)
	<i>PENSION & PROVIDENT FUNDS</i>
0610	Private Pension & Provident Funds (Self-administered)
0620	Official Pension & Provident Funds
	(Transnet, Telkom, Department of Finance, Post Office)
	<i>OTHER FINANCIAL INSTITUTIONS</i>
0710	Unit Trusts
0720	Participation Mortgage Bond Schemes
0730	Financial Public Enterprises & Financial Auxiliaries
0740	Other Finance Companies & Sundry Financial Institutions
	<i>PUBLIC SECTOR</i>
0800	Central Government including Social Security Funds
0900	Provincial Governments
1000	Local Authorities
	<i>GOVERNMENT ENTERPRISES & OTHER PUBLIC SECTORS</i>
1110	National government non-financial enterprises
1120	Non-financial Public Enterprises (Corporations)
1130	Sundry Public Sector
	(Agricultural Control Boards, Universities & Technicons)
	<i>PRIVATE SECTOR COMPANIES (NON-FINANCIAL)</i>
1200	Non-profit Institutions, Co-operative Societies, Close Corporations
	Other Private Sector Companies
	<i>PERSONAL SECTOR</i>
1300	Individuals & Unincorporated Business Enterprises
1400	Nominee Companies

12. Consolidated Account Owner (Srl No 28)

If the assets of the Beneficiary are being administered by an asset, investment or fund manager, the name of the manager administering the fund may be populated in this field. The field is 2*35x.

13. Language Indicator (Srl No 29)

This field reflects the chosen language of the Beneficiary and is optional. At this stage only the following codes are available:

01 – English

02 – Afrikaans

The default code is 01 English.

14. Client Category (Srl No 30)

This field reflects the category of the Client e.g. RSA citizen etc. The applicable codes to be supplied are described in the above file layout. Client Category 0008 is to be used for a client that does not fall into Client Categories 0001-0007 and 0009.

Untraceable clients can also be categorised under Client Category 0008. However, for such clients, the Participant and the Client of a Participant must show reasonable efforts to trace the client to the satisfaction of Strate Supervision. Traced clients must be recategorized appropriately.

15. Client Identifier (Srl No 31 and 32)

These fields cater for the identification number of the Client, for example the identification number, company registration number, etc.. The way in which the numbers are recorded is described in the file layout above.

For non-incorporated entities (e.g. stokvels, burial societies, etc.) this field must be populated with the identity number (or passport number, if a foreign citizen) of the designated representative of the entity, followed by trailing spaces.

A SARS tax number must be provided where one has been issued to a client.

16. Holdings (Srl No 36)

This is the quantity of Securities held.

17. Report Indicator (Srl No 37)

This field determines whether or not the Beneficiary requires annual reports or other Issuer communications to be sent directly to the Beneficiary by the Issuer. It also defines the method of communication i.e. postal service or e-mail.

2.2 PARTICIPANT LAYOUT B (MULTIPLE CSAS PER FILE)

Srl No	Name	M/O/C	Field descriptions
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Mandatory Sequence A File Information

1	Record Type Indicator	M	1n Value: 1
2	File Name	M	23x
3	File Sender ID	M	8x The 8 character BPID of the Participant in the Strate System used for Settlement of equity and bond Securities as applicable
4	Sender Name	M	35x
5	Sender Address	M	4*35x (each line to be padded with trailing spaces where appropriate)
6	Sender Postal Code	M	13x Official postal code standards to be used NB. Trailing spaces to be used where necessary Field is left justified
7	Sender E-mail Address	M	70x
8	Contact Person	M	35a
9	Contact Phone	M	24x
10	Holdings Date	M	8n (YYYYMMDD)
11	Preparation Date	M	8n (YYYYMMDD)
12	Newline character	M	Newline Character only
	Total number of characters sequence A		367

End of Sequence A File Information

Mandatory Repetitive Sequence B Central Securities Account Details

13	Record Type Indicator	M	1n Value: 2
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14	Central Securities Account	M	8n The 8 digit Central Securities Account of the Participant in the Strate System used for Settlement of equity and bond Securities, and Units, as applicable
15	Central Securities Account Name	M	35X
16	Address	M	4*35x (each line to be padded with trailing spaces where appropriate)
17	Newline character	M	Newline Character only
	Total number of characters sequence B		184

End of Sequence B File Information

Mandatory Repetitive Sequence C Client Details

18	Record Type Indicator	M	1n Value: 3
19	Account type	M	1n Values: 1 – Own Name Account 2 – Participant Nominee Account 3 – Beneficiary Account 4 – Authorised User Nominee Account 5 – FSCA Approved/Strate Recognised Nominee Account 6 – SDA 7 – SDA: Participant Nominee Account 8 – SDA: Authorised User Nominee Account 9 – SDA: FSCA Approved/Strate Recognised Nominee Account
20	Authorised User BIC Code or FSCA/Strate approved Nominee BIC code if available, or unique number as agreed with the Authorised User or FSCA Approved/Strate Recognised Nominee	C	11x (Mandatory when Account type is 4, 5, 8 or 9, not present for other Account types)
21	Registered Account number	M	16x

22	Beneficiary Account number	C	16x (Mandatory when Account type is 3, not present for other Account types)
23	Client Name	M	2*35x
24	Title	C	10x Mandatory, if it is a natural person
25	Address	M	4*35x (each line to be padded with trailing spaces where appropriate)
26	Postal Code	M	13x Official postal code standards to be used NB. Trailing spaces to be used where necessary Field is left justified
27	Email address	C	70x (If Annual Report Indicator is 03 then this field is Mandatory)
28	Contact Phone	O	24x Phone number may be provided if available
29	Country Code	C	2a (mandatory when Account type is 1, 3 or 6 not mandatory for other Account types)
30	Classification Code	C	4x (mandatory when Account type is 1, 3 or 6 not mandatory for other Account types)
31	Consolidated Account Owner	O	2*35x The name of the Asset/Investment/Fund Manager
32	Language Indicator	O	2n Values: 01 – 11 01 – English 02 – Afrikaans Default is 01 (only 01 or 02 to be populated at this stage)

33	Client Category	M	4!c Valid Values: 0001 – RSA Citizens 0002 – RSA Permanent Residents 0003 – Emigrants 0004 – Foreign Citizens 0005 – RSA Close Corporations 0006 – RSA Companies 0007 – Foreign Companies 0008 – Other Legal Persons 0009 – Trusts
34	Client Identifier 1	M	30x Valid Values: 13!x (followed by trailing spaces) RSA ID Number for Categories: 0001, 0002 and 0003 30x Personal Reference Number for Category: 0004 30x Company Registration Number for Categories: 0005, 0006 & 0007 30x Legal Form Reference Number for Category: 0008 30x Trust Deed Registration Number for Category: 0009 30x
35	Client Identifier 2	C	30x Valid Values: 10!x A tax number must be provided if the client has been issued with one Category 0008 – Untraceable client does not require a tax number, in which case the field should be filled with spaces
36	Newline character	M	Newline Character only
	Total number of characters sequence B		514

End of Sequence C Client Details

Mandatory Repetitive Sequence C1 Holdings Details

37	Record Type Indicator	M	1n Value: 4
38	Identification of Securities	M	12x

39	Holdings	M	s15!d 12 integers followed by 3 decimal places with an implied comma. This field represents the holdings: S indicates that the field contains a sign, 15 indicates the number of characters present and d indicates the presence of decimals. The field is structured with leading zeros. The sign { carried at the end of the field indicates the holdings is positive. 893 (Positive) holdings would be reflected as:- 00000000089300{ Or as:- 000000000893000
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40	Report Indicator	M	2n Values 01 – 03, indicates ‘Yes’ 01 – Full report by mail 02 – Abridged report by mail 03 – Electronic Notification by e-mail (In accordance with section 32 of the Companies Regulations, 2011) 04 – Indicates ‘No’. Not required (default)
41	Newline Character	M	Newline Character only
	Total number of characters sequence B1		30

End of sequence C1 Holdings Details

Mandatory Repetitive Sequence D Account Reconciliation Details

42	Record Type Indicator	M	1n Value: 5
43	Registered Account number	M	16x
44	Beneficiary Account number	O	16x (Mandatory when Account type is 3, not present for other Account types)
45	Total number of ISINs for Registered Account and Beneficiary Account combination	M	6n
46	Newline Character	M	Newline Character only
	Total number of characters sequence C		39

End of D Reconciliation Details

Mandatory Repetitive Sequence E Central Securities Account Reconciliation Details

47	Record Type Indicator	M	1n Value: 6
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48	Central Securities Account Number	M	8n
49	Total number of Registered Account and Beneficiary Account combination for the Central Securities Account	M	6n
	Total number of characters sequence D		15

End of Sequence E File Reconciliation Details

Mandatory Sequence F File Reconciliation Details

50	Record Type Indicator	M	1n Value: 7
51	File Name	M	23x
52	Total number Central Securities Accounts in file	M	6n
	Total number of characters sequence D		30

End of Sequence F File Reconciliation Details

Field Specifications

1. Filename (Srl No 2)

Subfield	M/O/C	Field descriptions
Indicator	M	1a Value: C
Holdings Date	M	8n
BPID	M	8n The 8 character BPID of the Participant in the Strate System used for Settlement of equity and bond Securities as applicable.

Serial number	M	2!n Values: 01-02 01-Full disclosure 02-Incremental disclosure
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CARE: Institutions creating files directly from a mainframe application use the Mainframe Layout and those institutions creating the file from an open system use the Open System Layout. The mainframe naming standards require segments of the file name to be a maximum of 8 characters and the first character must commence with an alpha character.

The file must be sent to Strate in text file format and must be named as follows:

MAINFRAME LAYOUT

P.EYYMMDD.V4. YYYYYYYY (for the disclosure of equities and participatory interests)

P.DYYMMDD.V4. YYYYYYYY (for the disclosure of bonds)

P.EYYMMDD.V4. YYYYYYYY.XXXXXXXXXX.ZXXXX (On-demand for the disclosure of equities and participatory interests)

P.DYYMMDD.V4. YYYYYYYY.XXXXXXXXXX.ZXXXX (On-demand for the disclosure of bonds)

- P. is standard for all files;
- EYYMMDD. refers to the Holdings Date. The E preceding the date denotes equities and participatory interests, and the D denotes bonds;
- V4. refers to the version of the file;
- YYYYYYYY. refers to the Participant's BPID number, e.g. ZA100043;
- XXXXXXXX.ZXXXX. must be inserted when providing an on-demand disclosure and represents the ISIN being disclosed. The first 8 characters are followed by a dot and the last 4 characters must be preceded by a Z. In other words, ZAE000463458 is reflected as ZAE00046.Z3458 and GB0000566504 is reflected as GB000056.Z6504

NB. The names must be in uppercase and the different sections of the filename are separated by a .(dot)

OPEN SYSTEMS LAYOUT

P.EYYMMDD.V4. YYYYYYYY (for the disclosure of equities and participatory interests)

P.DYYMMDD.V4. YYYYYYYY (for the disclosure of bonds)

P.EYYMMDD.V4. YYYYYYYY.ZZZZZZZZZZZZ (on-demand for the disclosure of equities and participatory interests)

P.DYYMMDD.V4. YYYYYYYY.ZZZZZZZZZZZZ (on-demand for the disclosure of bonds)

- P. is standard for all files;
- EYYMMDD. refers to the Holdings Date. The E preceding the date denotes equities and participatory interests, and the D denotes bonds;
- V4. refers to the version of the file;
- YYYYYYYY. refers to the Participant's BPID number, e.g. ZA100043;
- ZZZZZZZZZZZZ. is the ISIN for an on-demand report

NB. The names must be in uppercase and the different sections of the filename are separated by a .(dot)

2. File Sender ID (Srl No 3)

This is the BPID at Strate.

3. Newline Character (Srl No 13)

A newline character is a special character that is used to indicate the end of the line and to separate lines of text.

4. Central Securities Account (Srl No 14)

Where a CSA or SDA is maintained for a beneficial interest holder, a Participant may elect not to send a BIR for that CSA or SDA.

5. Registered Account Number (Srl No 21)

This is the account number of the Client in the books of the Participant.

6. Beneficiary Account Number (Srl No 22)

This is the Client account under the registered account in the books of the Participant.

7. Client Name (Srl No 23)

Notwithstanding the manner in which the account is recorded in the records of the Participant or Authorised User or Nominee, the Client's name in the BIR must indicate the identity of the Beneficiary. In respect of Own Name Clients, the categories referred to in Strate Directive SA.4 – *Requirements for Own Name Clients*, are applicable.

Naming Standards

The following naming standards are applicable for listed categories of Clients:

- Private individuals – Surname followed by the full first names. A comma must be used between the surname and first names to more easily and correctly identify the name. The BIR format is 2*35x and the first names are to follow directly after the comma. In other words, the name may wrap into the second line if required. The second line is not to be used specifically for recording the first name/s.
- For an untraceable client, the words "Untraceable client" must be entered first, then a hyphen, and then the name of the client.
- Legal persons – the registered name of the entity.
- Account designations such as; "No. 2 A/c" or "Blocked Rand Account" must be recorded by inserting a comma after the first names followed by the account designation.
- Trust accounts – In terms of the Trust Property Control Act, 1988 (Act 57 of 1988), an account or investment at a financial institution must be identifiable as a trust account or trust investment. The recording of trusts is therefore as follows – AB Family Trust. To facilitate sorting of securities holders by name "The" is not recorded. In other words, The AB Family Trust must be recorded as AB Family Trust.
- Estate Late – Estate Late can be recorded as Estate Late followed by the first names and surname. Prefix of "Estate Late" in the name is not mandatory.

8. Title (Srl No.20)

The following titles may be used:-

Title Codes

Title Code	Description
ADMIN	Administrator
ADML	Admiral
ADV	Advocate
AJ	Appeal Judge
AMBASSADOR	Ambassador
BARON	Baron
BARONESS	Baroness
BRIG	Brigadier
BWYLE	Boedel Wyle (Afrikaans)
CAPT	Captain
CHIEF	Chief
CMDT	Commandant
COL	Colonel
COMM	Commander
COUNT	Count
COUNTESS	Countess
CURATOR	Curatorship
DAME	Dame
DR	Doctor
DS	Dominee (Afrikaans)
DUCHESS	Duchess
DUKE	Duke
EKS	Eksekuteur (Afrikaans)
EST LATE	Estate Late
ESTATE OF/THE ESTATE	Estate of/The Estate/Estate
EX	Executor
FATHER	Father
GEN	General
GENMAJ	General Major/Generaal Majoor (Afrikaans)
HON	Honourable
HRH	His or Her Royal Highness
INSP	Inspector/Inspekteur (Afrikaans)
INS EST	Insolvent Estate
JUDGE	Judge
JUSTICE	Justice
KAPT	Kaptein (Afrikaans)
KOL	Kolonel (Afrikaans)
KMDT	Kommandant (Afrikaans)
KONST	Konstabel (Afrikaans)
LADY	Lady
LORD	Lord
LT	Lieutenant
LT GENL	Lieutenant General

LT COL	Lieutenant Colonel
MADAM	Madam
MAJ	Major
MAJ GEN	Major General
MASTER	Master
ME	Mejuffrou/Mevrou (Afrikaans)
MEJ	Mejuffrou (Afrikaans)
MESSRS	Messieurs (male plural)
MEV	Mevrou (Afrikaans)
MRS	Mistress (female married singular)
MISS	Miss
MNR	Meneer (Afrikaans)
MR	Mister
MS	Miss
PASTOR/PSTR	Pastor
PASTOOR/PSTR	Pastoor (Afrikaans)
PRINCE	Prince
PRINCESS	Princess
PROF	Professor
RABBI	Rabbi
REGTER	Regter (Afrikaans)
REV	Reverend
SEN	Senator
SER	Sersant (Afrikaans)
SGT	Sergeant
SIR	Sir
SISTER	Sister
OTHER	For other title not listed above

9. Address (Srl No 25 & 26)

A valid address conforming to accepted postal standards, including a postal code, must be provided. If no postal code is available, the field must be populated with four zeroes, i.e. 0000.

NB. The use of any variations of: 'By Hand', 'Do Not Mail', 'Returned Post', 'Internal Mail', 'Fax numbers' or 'Hand to: Mr X' in the address field, or an incomplete address, is not permitted.

10. E-mail Address (Srl No 27) - Also see the description for Srl No 40

If the Beneficiary requires communication by e-mail this field is mandatory. In other words, the field is populated with the e-mail address of the Beneficiary if the mail option selected is 03.

NB. In terms of the Companies Act, 2008, an Issuers has the responsibility to give notices to, and/or to communicate with, its securities holders, and the securities holders have the right to receive notices from the Issuer.

11. Classification Code (Srl No 27)

SECTOR CODE	INSTITUTIONAL SECTOR CODE
	<i>FOREIGN</i>
0100	Non-resident individuals & companies
	<i>MONETARY AUTHORITY</i>
0200	South African Reserve Bank & Corporation for Public Deposits
	<i>OTHER MONETARY INSTITUTIONS</i>
0300	Banks, Mutual Banks, Landbank & Postbank
	<i>PUBLIC INVESTMENT COMMISSIONERS</i>
0400	Public Investment Corporation (PIC)
	<i>INSURERS</i>
0510	Long Term Insurers
0520	Short Term Insurers (including Medical Schemes)
	<i>PENSION & PROVIDENT FUNDS</i>
0610	Private Pension & Provident Funds (Self-administered)
0620	Official Pension & Provident Funds (Transnet, Telkom, Department of Finance, Post Office)
	<i>OTHER FINANCIAL INSTITUTIONS</i>
0710	Unit Trusts
0720	Participation Mortgage Bond Schemes
0730	Financial Public Enterprises & Financial Auxiliaries
0740	Other Finance Companies & Sundry Financial Institutions
	<i>PUBLIC SECTOR</i>
0800	Central Government including Social Security Funds
0900	Provincial Governments
1000	Local Authorities
	<i>GOVERNMENT ENTERPRISES & OTHER PUBLIC SECTORS</i>
1110	National government non-financial enterprises
1120	Non-financial Public Enterprises (Corporations)
1130	Sundry Public Sector (Agricultural Control Boards, Universities & Technicons)
	<i>PRIVATE SECTOR COMPANIES (NON-FINANCIAL)</i>
1200	Non-profit Institutions, Co-operative Societies, Close Corporations Other Private Sector Companies
	<i>PERSONAL SECTOR</i>
1300	Individuals & Unincorporated Business Enterprises

1400	Nominee Companies
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12. Consolidated Account Owner (Srl No 31)

If the assets of the Beneficiary are being administered by an asset, investment or fund manager, the name of the manager administering the fund may be populated in this field. The field is 2*35x.

13. Language Indicator (Srl No 32)

This field reflects the chosen language of the Beneficiary and is optional. At this stage only the following codes are available:

01 – English

02 – Afrikaans

The default code is 01 English.

14. Client Category (Srl No 33)

This field reflects the category of the Client e.g. RSA citizen etc. The applicable codes to be supplied are described in the above file layout. Client Category 0008 is to be used for a client that does not fall into Client Categories 0001-0007 and 0009.

Untraceable clients can also be categorised under Client Category 0008. However, for such clients, the Participant and the Client of a Participant must show reasonable efforts to trace the client to the satisfaction of Strate Supervision. Traced clients must be recategorized appropriately.

15. Client Identifier (Srl No 34 and 35)

These fields cater for the identification number of the Client, for example the identification number, company registration number, etc. The way in which the numbers are recorded is described in the file layout above.

For non-incorporated entities (e.g. stokvels, burial societies, etc.) this field must be populated with the identity number (or passport number, if a foreign citizen) of the designated representative of the entity, followed by trailing spaces.

A SARS tax number must be provided where one has been issued to a client.

16. Holdings (Srl No 39)

This is the quantity of Securities held.

17. Report Indicator (Srl No 40)

This field determines whether or not the Beneficiary requires annual reports or other Issuer communications to be sent directly to the Beneficiary by the Issuer. It also defines the method of communication i.e. postal service or e- mail.

2.3 EXCHANGE/AUTHORISED USER LAYOUT

Srl No	Name	M/O/C	Field descriptions
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Mandatory Sequence A File Information

1.	Record Type Indicator	M	1n Value: 1
2.	File Name	M	23x
3.	File Sender ID	M	8x The 8 character BPID of the Authorised User in the Strate System used for Settlement of equity and bond
4.	BPID	M	8x
5.	Holdings Date	M	8n (YYYYMMDD)
6.	Authorised User BIC Code	M	11x
7.	Preparation Date	M	8n (YYYYMMDD)
8.	Newline character	M	Newline Character only
	Total number of characters sequence A		67

End of Sequence A File Information

Mandatory Sequence B Account Information

9.	Record Type Indicator	M	1n Value: 2
10.	Central Securities Account	M	8n The 8 digit Central Securities Account of the Participant in the Strate System
11.	Registered Account Number	M	16x
12.	Newline character	M	Newline Character only
	Total number of characters sequence B		25

End of Sequence B Account Information

Mandatory Repetitive Sequence C Client Details per Beneficiary Account Number

13.	Record Type Indicator	M	1n Value: 3
14.	Account Type	M	2n Values: 03 – Beneficiary Account 10 – FSCA Approved/Strate Recognised Nominee holding a Securities Account under an Authorised User Nominee
15.	Authorised User BIC Code if available or unique number as agreed with the Authorised User Nominee or a FSCA Approved/Strate Recognised Nominee holding a Securities Account under an Authorised User Nominee	C	11x Mandatory when Account type is 10. Not present for other Account types
16.	Registered Account number	M	16x Registered Account number of a FSCA Approved/Strate Recognised Nominee holding a Securities Account under an Authorised User Nominee in the books of the Authorised User for Account type 10 Same as Registered Account number in Sequence B for Account Type 03
17.	Beneficiary Account number	C	16x (Mandatory when Account type is 3, not present for other Account types)
18.	Client Name	M	2*35x
19.	Title	C	10x Mandatory, if it is a natural person
20	Address	M	4*35x (each line to be padded with trailing spaces where appropriate)
21.	Postal Code	M	13x Official postal code standards to be used NB. Trailing spaces to be used where necessary Field is left justified

22.	Email address	C	70x (If Annual Report Indicator is 03 then this field is Mandatory)
23.	Contact Phone	O	24x Phone number may be provided if available
24.	Country Code	M	2a
25.	Classification Code	M	4x
26.	Consolidated Account Owner	O	2*35x The name of the Asset/Investment/Fund Manager
27.	Language Indicator	O	2n Values: 01 – 11 01 – English 02 - Afrikaans Default is 01 (01 and 02 to be populated at this stage)
28.	Client Category	M	4!c Valid Values: 0001 – RSA Citizens 0002 – RSA Permanent Residents 0003 – Emigrants 0004 – Foreign Citizens 0005 – RSA Close Corporations 0006 – RSA Companies 0007 – Foreign Companies 0008 – Other Legal Persons 0009 – Trusts

29.	Client Identifier 1	M	30x Valid Values: 13!x (followed by trailing spaces) RSA ID Number for Categories: 0001, 0002, and 0003 30x Personal Reference Number for Category: 0004 30x Company Registration Number for Categories: 0005, 0006 & 0007 30x Legal Form Reference Number for Category: 0008 30x Trust Deed Registration Number for Category: 0009 30x
30.	Client Identifier 2	C	30!x Valid Values: 10!x A tax number must be provided if the client has been issued with one Category 0008 – Untraceable client does not require a tax number, in which case the field should be filled with spaces
31.	Newline Character	M	Newline Character only
	Total number of characters sequence C		515

End of Sequence C Client Details

Mandatory Repetitive Sequence C1 Holdings Details

32.	Record Type Indicator	M	1n Value: 4
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33.	Identification of Securities	M	12x
34.	Holdings	M	s15!d 12 integers followed by 3 decimal places with an implied comma. This field represents the holdings: S indicates that the field contains a sign, 15 indicates the number of characters present and d indicates the presence of decimals. The field is structured with leading zeros. The sign { carried at the end of the field indicates the holdings is positive. 893 (Positive) holdings would be reflected as:- 00000000089300{ Or as:- 000000000893000

35.	Report Indicator	M	2n Values 01 – 03, indicates ‘Yes’ 01 – Full report by mail 02 – Abridged report by mail 03 – Electronic Notification by e-mail (In accordance with section 32 of the Companies Regulations, 2011) 04 – Indicates ‘No’. Not required (default)
36.	Newline character	M	Newline Character only
	Total number of characters sequence C1		30

End of Sequence C1 Holdings Details

Mandatory Repetitive Sequence C2 Beneficiary Account Number Reconciliation

37.	Record Type Indicator	M	1n Value: 5
38.	Registered Account number	M	16x Same as Registered Account number in Sequence C
39.	Beneficiary Account number	C	16x (Mandatory when Account type is 3, not present for other Account types)
40.	Total number of ISINs for the Registered Account and Beneficiary Account	M	6n
41.	Newline character	M	Newline Character only
	Total number of characters sequence C2		39

End of Sequence C2 Beneficiary Account Number Reconciliation

Mandatory Sequence D Account Number Reconciliation

42.	Record Type Indicator	M	1n Value: 6
43.	Central Securities Account	M	8n The 8 character Central Securities Account of the Participant in the Strate System
44.	Registered Account number	M	16x
45.	Total number of Beneficiary Accounts and FSCA Approved/Strate Recognised Nominees holding a Securities Accounts under an Authorised User Nominee for the Registered Account	M	6n
46.	Newline character	M	Newline Character only
	Total number of characters sequence D		31

End of Sequence D Account Number Reconciliation

Mandatory Sequence E File Reconciliation Details

47.	Record Type Indicator	M	1n Value: 7
48.	File Name	M	23x
49.	Total number of Registered Accounts for the Authorised User	M	6n
	Total number of characters sequence E		30

End of Sequence E File Reconciliation Details

Field Specifications

1. Filename (Srl No 2)

Subfield	M/O/C	Field descriptions
Indicator	M	1a Value: B
Holdings Date	M	8n

Authorised User BIC Code	M	11x
Serial number	M	2!n Values: 01-02 01-Full disclosure 02-Incremental disclosure

The file must be sent to Strate in text file format and must be named as follows:

P.EYYMMDD.V3.ZZZXXXXX.ZAXXXXXX (for the disclosure of equities and participatory interests)

P.DYYMMDD.V3.ZZZXXXXX.ZAXXXXXX (for the disclosure of bonds)

P.EYYMMDD.V3.YYYXXXXX.ZAXXXXXX.XXXXXXXX.ZXXXXX (on-demand for the disclosure of equities and participatory interests)

P.DYYMMDD.V3.YYYXXXXX.ZAXXXXXX.ZXXXXXXX.XXXXXX (on-demand for the disclosure of bonds)

- P. is standard for all files;
- EYYMMDD. refers to the Holdings Date. The E preceding the date denotes equities and participatory interests and the D denotes bonds;
- V3. refers to the version of the file;
- ZZZXXXXX. refers to the Authorised User's number in the relevant Exchange System. The first three characters (ZZZ) represent the relevant Exchange System;
- ZAXXXXXX. refers to the BPID of the relevant Exchange in the books of Strate;
- XXXXXXXX.ZXXXXX. must be inserted when providing an on-demand disclosure and represents the ISIN being disclosed. The first 8 characters are followed by a dot and the last 4 characters must be preceded by a Z. In other words, ZAE000463458 is reflected as ZAE00046.Z3458 and GB0000566504 is reflected as GB000056.Z6504.

NB. The names must be in uppercase and the different sections of the filename are separated by a .(dot)

2. File Sender ID (Srl No 3)

This is the BPID at Strate.

3. BPID (Srl No 4)

This is the BPID of the Authorised User for whom the file is being sent.

4. Registered Account number (Srl No 11)

This is the Authorised User Nominee Account in the books of the Participant (this information is also available from the Authorised User).

5. Account Type (Srl No 14)

This account type shows whether the account type is a Beneficiary Account (03) or is a FSCA Approved/Strate Recognised Nominee holding a Securities Account under an Authorised User Nominee (10).

6. Registered Account number (Srl No 16)

This is a registered account number of the Nominee in the books of the Authorised User Nominee.

7. Beneficiary Account number (Srl No 17)

This is the Client account in the books of the Authorised User.

8. Client Name (Srl No 18)

Notwithstanding the manner in which the account is recorded in the records of the Participant or Authorised User or Nominee, the Client's name in the BIR must indicate the identity of the Beneficiary. In respect of Own Name Clients, the categories referred to in Strate Directive SA.4 – *Requirements for Own Name Clients*, are applicable.

Naming Standards

The following naming standards are applicable for listed categories of Clients:

- Private individuals – Surname followed by the full first names. A comma must be used between the surname and first names to more easily and correctly identify the name. The BIR format is 2*35x and the first names are to follow directly after the comma. In other words, the name may wrap into the second line if required. The second line is not to be used specifically for recording the first name/s.
- For an untraceable client, the words “Untraceable client” must be entered first, then a hyphen, and then the name of the client.
- Legal persons – the registered name of the entity.
- Account designations such as; “No. 2 A/c” or “Blocked Rand Account” must be recorded by inserting a comma after the first names followed by the account designation.
- Trust accounts – In terms of the Trust Property Control Act, 1988 (Act 57 of 1988), an account or investment at a financial institution must be identifiable as a trust account or trust investment. The recording of trusts is therefore as follows – AB Family Trust. To facilitate sorting of securities holders by name “The” is not recorded. In other words, The AB Family Trust must be recorded as AB Family Trust.
- Estate Late – Estate Late can be recorded as Estate Late followed by the first names and surname. Prefix of “Estate Late” in the name is not mandatory.

9. Title (Srl No.19)

The following titles may be used:-

Title Codes

Title Code	Description
ADMIN	Administrator
ADML	Admiral
ADV	Advocate
AJ	Appeal Judge
AMBASSADOR	Ambassador
BARON	Baron
BARONESS	Baroness
BRIG	Brigadier
BWYLE	Boedel Wyle (Afrikaans)
CAPT	Captain
CHIEF	Chief
CMD	Commandant
COL	Colonel
COMM	Commander
COUNT	Count

COUNTESS	Countess
CURATOR	Curatorship
DAME	Dame
DR	Doctor
DS	Dominee (Afrikaans)
DUCHESS	Duchess
DUKE	Duke
EKS	Eksekuteur (Afrikaans)
EST LATE	Estate Late
ESTATE OF/THE ESTATE	Estate of/The Estate/Estate
EX	Executor
FATHER	Father
GEN	General
GENMAJ	General Major/Generaal Majoor (Afrikaans)
HON	Honourable
HRH	His or Her Royal Highness
INSP	Inspector/Inspekteur (Afrikaans)
INS EST	Insolvent Estate
JUDGE	Judge
JUSTICE	Justice
KAPT	Kaptein (Afrikaans)
KOL	Kolonel (Afrikaans)
KMDT	Kommandant (Afrikaans)
KONST	Konstabel (Afrikaans)
LADY	Lady
LORD	Lord
LT	Lieutenant
LT GENL	Lieutenant General
LT COL	Lieutenant Colonel
MADAM	Madam
MAJ	Major
MAJ GEN	Major General
MASTER	Master
ME	Mejuffrou/Mevrou (Afrikaans)
MEJ	Mejuffrou (Afrikaans)
MESSRS	Messieurs (male plural)
MEV	Mevrou (Afrikaans)
MRS	Mistress (female married singular)
MISS	Miss
MNR	Meneer (Afrikaans)
MR	Mister
MS	Miss
PASTOR/PSTR	Pastor
PASTOOR/PSTR	Pastoor (Afrikaans)
PRINCE	Prince
PRINCESS	Princess
PROF	Professor
RABBI	Rabbi
REGTER	Regter (Afrikaans)
REV	Reverend

SER	Sersant (Afrikaans)
SEN	Senator
SGT	Sergeant
SIR	Sir
SISTER	Sister
OTHER	For other title not listed above

10. Address (Srl No 21 & 22)

A valid address conforming to accepted postal standards, including a postal code, must be provided. If no postal code is available, the field must be populated with four zeroes, i.e. 0000.

NB. The use of any variations of: 'By Hand', 'Do Not Mail', 'Returned Post', 'Internal Mail', 'Fax numbers' or 'Hand to: Mr X' in the address field, or an incomplete address, is not permitted.

11. E-mail Address (Srl No 22) – Also see the description for Srl No 35

If the Beneficiary requires communication by e-mail this field is mandatory. In other words, the field is populated with the e-mail address of the Beneficiary if the mail option selected is 03.

NB. In terms of the Companies Act, 2008, an Issuers has the responsibility to give notices to, and/or to communicate with its securities holders, and the securities holders have the right to receive notices from the Issuer.

12. Classification Code (Srl No 25)

SECTOR	
CODE	INSTITUTIONAL SECTOR CODE
	<i>FOREIGN</i>
0100	Non-resident individuals & companies
	<i>MONETARY AUTHORITY</i>
0200	South African Reserve Bank & Corporation for Public Deposits
	<i>OTHER MONETARY INSTITUTIONS</i>
0300	Banks, Mutual Banks, Landbank & Postbank
	<i>PUBLIC INVESTMENT COMMISSIONERS</i>
0400	Public Investment Corporation (PIC)

	<i>INSURERS</i>
0510	Long Term Insurers
0520	Short Term Insurers (including Medical Schemes)
	<i>PENSION & PROVIDENT FUNDS</i>
0610	Private Pension & Provident Funds (Self-administered)
0620	Official Pension & Provident Funds (Transnet, Telkom, Department of Finance, Post Office)
	<i>OTHER FINANCIAL INSTITUTIONS</i>
0710	Unit Trusts
0720	Participation Mortgage Bond Schemes
0730	Financial Public Enterprises & Financial Auxiliaries
0740	Other Finance Companies & Sundry Financial Institutions
	<i>PUBLIC SECTOR</i>
0800	Central Government including Social Security Funds
0900	Provincial Governments
1000	Local Authorities
	<i>GOVERNMENT ENTERPRISES & OTHER PUBLIC SECTORS</i>
1110	National government non-financial enterprises
1120	Non-financial Public Enterprises (Corporations)
1130	Sundry Public Sector (Agricultural Control Boards, Universities & Technicons)
	<i>PRIVATE SECTOR COMPANIES (NON-FINANCIAL)</i>
1200	Non-profit Institutions, Co-operative Societies, Close Corporations Other Private Sector Companies
	<i>PERSONAL SECTOR</i>
1300	Individuals & Unincorporated Business Enterprises
1400	Nominee Companies

13. Consolidated Account Owner (Srl No 26)

If the assets of the Beneficiary are being administered by an asset, investment or fund manager, the name of the manager administering the fund may be populated in this field. The field is 2*35x.

14. Language Indicator (Srl No 27)

This field reflects the chosen language of the Beneficiary and is optional. At this stage only the following codes are available:

01 – English

02 – Afrikaans

The default code is 01 English.

15. Client Category (Srl No 28)

This field reflects the category of the Client e.g. RSA citizen etc. The applicable codes to be supplied are described in the above file layout. Client Category 0008 is to be used for a client that does not fall into Client Categories 0001-0007 and 0009.

Untraceable clients can also be categorised under Client Category 0008. However, for such clients, the Participant and the Client of a Participant must show reasonable efforts to trace the client to the satisfaction of Strate Supervision. Traced clients must be recategorized appropriately.

16. Client Identifier (Srl No 29 and 30)

These fields cater for the identification number of the Client, for example the identification number, company registration number, etc. The way in which the numbers are recorded is described in the file layout above.

For non-incorporated entities (e.g. stokvels, burial societies, etc.) this field must be populated with the identity number (or passport number, if a foreign citizen) of the designated representative of the entity, followed by trailing spaces.

A SARS tax number must be provided where one has been issued to a client.

17. Holdings (Srl No 34)

This is the quantity of Securities held.

18. Report Indicator (Srl No 35)

This field determines whether or not the Beneficiary requires annual reports, or other Issuer communications, to be sent directly to the Beneficiary by the Issuer. It also defines the method of communication i.e. postal service or e-mail.

2.4 FSCA APPROVED/STRATE RECOGNISED NOMINEE LAYOUT

Srl No	Name	M/O/C	Field descriptions
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Mandatory Sequence A File Information

1.	Record Type Indicator	M	1n Value: 1
2.	File Name	M	23x
3.	File Sender ID	M	8x The 8 character BPID of the FSCA Approved/Strate Recognised Nominee in the Strate System used for Settlement of equity and bond Securities, and Units, as applicable
4.	BPID	M	8x
5.	Holdings Date	M	8n (YYYYMMDD)
6.	BIC Code if available or unique number as agreed with Participant	M	11x
7.	Preparation Date	M	8n (YYYYMMDD)
8.	Newline character	M	Newline Character only
	Total number of characters sequence A		67

End of Sequence A File Information

Mandatory Sequence B Account Information

9.	Record Type Indicator	M	1n Value: 2
10.	Central Securities Account	M	8n The 8 digit Central Securities Account belonging to the Participant of the FSCA Approved/Strate Recognised Nominee in the Strate System used for Settlement of equity and bond Securities, and Units, as applicable
11.	Participant Registered Account number	M	16x
12.	Newline character	M	Newline Character only

	Total number of characters sequence C		25
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End of Sequence B Account Information

Mandatory Repetitive Sequence C Client Details per Beneficiary Account Number

13.	Record Type Indicator	M	1n Value: 3
14.	Beneficiary Account number	M	16x (Mandatory when Account type is 3, not present for other Account types)
15.	Client Name	M	2*35x
16.	Title	C	10x Mandatory, if it is a natural person
17.	Address	M	4*35x (each line to be padded with trailing spaces where appropriate)
18.	Postal Code	M	13x Official postal code standards to be used NB. Trailing spaces to be used where necessary Field is left justified
19.	Email address	C	70x (If Annual Report Indicator is 03 then this field is Mandatory)
20.	Contact Phone	O	24x Phone number may be provided if available
21.	Country Code	M	2a
22.	Classification Code	M	4x
23.	Consolidated Account Owner	O	2*35x The name of the Asset/Investment/Fund Manager

24.	Language Indicator	O	2!n Values: 01 – 11 01 – English 02 – Afrikaans Default is 01 (01 and 02 to be populated at this stage)
25.	Client Category	M	4!n Valid Values: 0001 – RSA Citizens 0002 – RSA Permanent Residents 0003 – Emigrants 0004 – Foreign Citizens 0005 – RSA Close Corporations 0006 – RSA Companies 0007 – Foreign Companies 0008 – Other Legal Persons 0009 – Trusts
26.	Client Identifier 1	M	30!x Valid Values: 13!x (followed by trailing spaces) RSA ID Number for Categories: 0001, 0002, and 0003 30x Personal Reference Number for Category: 0004 30x Company Registration Number for Categories: 0005, 0006 & 0007 30x Legal Form Reference Number for Category: 0008 30x Trust Deed Registration Number for Category: 0009 30x

27.	Client Identifier 2	C	30!x Valid Values: 10!x A tax number must be provided if the client has been issued with one Category 0008 – Untraceable client does not require a tax number, in which case the field should be filled with spaces
28.	Newline Character	M	Newline Character only
	Total number of characters sequence C		486

End of Sequence C Client Details

Mandatory Repetitive Sequence C1 Holdings Details

29.	Record Type Indicator	M	1n Value: 4
30.	Identification of Securities	M	12x

31.	Holdings	M	s15!d 12 integers followed by 3 decimal places with an implied comma. This field represents the holdings: S indicates that the field contains a sign, 15 indicates the number of characters present and d indicates the presence of decimals. The field is structured with leading zeros. The sign { carried at the end of the field indicates the holdings is positive. 893 (Positive) holdings would be reflected as:- 00000000089300{ Or as:- 000000000893000
32.	Report Indicator	C	2n Values 01 – 03, indicates ‘Yes’ 01 – Full report by mail 02 – Abridged report by mail 03 – Electronic Notification by e-mail (In accordance with section 32 of the Companies Regulations, 2011) 04 – Indicates ‘No’. Not required (default)
33.	Newline character	M	Newline Character only
	Total number of characters sequence C1		30

End of Sequence C1 Holdings Details

Mandatory Repetitive Sequence C2 Beneficiary Account Number Reconciliation

34.	Record Type Indicator	M	1n Value: 5
35.	Beneficiary Account number	M	16x (Mandatory when Account type is 3, not present for other Account types)
36.	Total number of ISINs for the Beneficiary Account	M	6n
37.	Newline character	M	Newline Character only
	Total number of characters sequence C2		23

End of Sequence C2 Beneficiary Account Number Reconciliation

Mandatory Sequence D Account Number Reconciliation

38.	Record Type Indicator	M	1n Value: 6
39.	Central Securities Account	M	8n The 8 digit Central Securities Account belonging to the Participant of the FSCA Approved/Strate Recognised Nominee in the Strate System used for Settlement of equity and bond Securities, and Units, as applicable
40.	Registered Account number	M	16x
41.	Total number of Beneficiary Accounts for the Registered Account. i.e. Total number of Account type 3s	M	6n
42.	Newline character	M	Newline Character only
	Total number of characters sequence D		31

End of Sequence D Account Number Reconciliation

Mandatory Sequence E File Reconciliation Details

43.	Record Type Indicator	M	1n Value: 7
44.	File Name	M	23x
45.	Total number of Registered Accounts for the FSCA Approved/Strate Recognised Nominee	M	6n Value: 1
	Total number of characters sequence E		30

End of Sequence E File Reconciliation Details

Field Specifications

1. Filename (Srl No 2)

Subfield	M/O/C	Field descriptions
Indicator	M	1a Value: F
Holdings Date	M	8n
BIC Code	M	11x
Serial number	M	2n 01-Full disclosure 02-Incremental disclosure
Filler	M	1b

CARE: Institutions creating files directly from a mainframe application will use the Mainframe Layout and those institutions creating the file from an open system will use the Open System Layout. The mainframe naming standards require segments of the file name to be a maximum of 8 characters and the first character must commence with an alpha character.

The file should be sent to Strate in text file format and should be named as follows:

MAINFRAME LAYOUT

P.EYYMMDD.V3. YYYYYYYY (for the disclosure of equities and participatory interests)

P.DYYMMDD.V3. YYYYYYYY (for the disclosure of bonds)

P.EYYMMDD.V3. YYYYYYYY.XXXXXXXXXX.ZXXXX (on-demand for the disclosure of equities and participatory interests)

P.DYYMMDD.V3. YYYYYYYY.XXXXXXXXXX.ZXXXX (on-demand for the disclosure of bonds)

- P. is standard for all files;
- EYYMMDD. refers to the Holdings Date. The E preceding the date denotes equities and participatory interests, and the D denotes bonds;
- V3. refers to the version of the file;
- YYYYYYYY. refers to the to the FSCA Approved/Strate Recognised Nominee's BPID number, e.g. ZA100043;
- XXXXXXXX.ZXXXX. must be inserted when providing an on-demand disclosure and represents the ISIN being disclosed. The first 8 characters are followed by a dot and the last 4 characters must be preceded by a Z. In other words, ZAE000463458 is reflected as ZAE00046.Z3458 and GB0000566504 is reflected as GB000056.Z6504.

NB. The names must be in uppercase and the different sections of the filename are separated by a .(dot)

OPEN SYSTEMS LAYOUT

P.EYYMMDD.V3. YYYYYYYY (for the disclosure of equities and participatory interests)

P.DYYMMDD.V3. YYYYYYYY (for the disclosure of bonds)

P.EYYMMDD.V3. YYYYYYYY.ZZZZZZZZZZZZ (on-demand for the disclosure of equities and participatory interests)

P.DYYMMDD.V3. YYYYYYYY.ZZZZZZZZZZZZ (on-demand for the disclosure of bonds)

- P. is standard for all files;

- EYYMMDD. refers to the Holdings Date. The E preceding the date denotes equities and participatory interests, and the D denotes bonds;
- V3. refers to the version of the file;
- YYYYYYYY. Refers to the FSCA Approved/Strate Recognised Nominee's BPID number, e.g. ZA100043;
- ZZZZZZZZZZ. is the ISIN for an on-demand report.

NB. The names must be in uppercase and the different sections of the filename are separated by a .(dot)

2. File Sender ID (Srl No 3)

This is the BPID at Strate.

3. BPID (Srl No 4)

This is the BPID of the FSCA Approved/Strate Recognised Nominee.

4. Registered Account number (Srl No 11)

This is the FSCA Approved/Strate Recognised Nominee Account in the books of the Participant.

5. Beneficiary Account number (Srl No 14)

This is the Client account in the books of the FSCA Approved/Strate Recognised Nominee.

6. Client Name (Srl No 15)

Notwithstanding the manner in which the account is recorded in the records of the Participant or FSCA Approved/Strate Recognised Nominee, the Client's name in the BIR must indicate the identity of the Beneficiary. In respect of Own Name Clients, the categories referred to in Strate Directive SA.4 – *Requirements for Own Name Clients*, are applicable.

Naming Standards

The following naming standards are applicable for listed categories of Clients:

- Private individuals – Surname followed by the full first names. A comma must be used between the surname and first names to more easily and correctly identify the name. The BIR format is 2*35x and the first names are to follow directly after the comma. In other words, the name may wrap into the second line if required. The second line is not to be used specifically for recording the first name/s.
- For an untraceable client, the words “Untraceable client” must be entered first, then a hyphen, and then the name of the client.
- Legal persons – the registered name of the entity.
- Account designations such as; “No. 2 A/c” or “Blocked Rand Account” must be recorded by inserting a comma after the first names followed by the account designation.
- Trust accounts – In terms of the Trust Property Control Act, 1988 (Act 57 of 1988), an account or investment at a financial institution must be identifiable as a trust account or trust investment. The recording of trusts is therefore as follows – AB Family Trust. To facilitate sorting of securities holders by name “The” is not recorded. In other words, The AB Family Trust must be recorded as AB Family Trust.
- Estate Late – Estate Late can be recorded as Estate Late followed by the first names and surname. Prefix of “Estate Late” in the name is not mandatory.

7. Title (Srl No. 16)

The following titles may be used:-

Title Codes

Title Code	Description
ADMIN	Administrator
ADML	Admiral
ADV	Advocate
AJ	Appeal Judge
AMBASSADOR	Ambassador
BARON	Baron
BARONESS	Baroness
BRIG	Brigadier
BWYLE	Boedel Wyle (Afrikaans)
CAPT	Captain
CHIEF	Chief
CMD	Commandant
COL	Colonel

COMM	Commander
COUNT	Count
COUNTESS	Countess
CURATOR	Curatorship
DAME	Dame
DR	Doctor
DS	Dominee (Afrikaans)
DUCHESS	Duchess
DUKE	Duke
EKS	Eksekuteur (Afrikaans)
EST LATE	Estate Late
ESTATE OF/THE ESTATE	Estate of/The Estate/Estate
EX	Executor
FATHER	Father
GEN	General
GENMAJ	General Major/Generaal Majoor (Afrikaans)
HON	Honourable
HRH	His or Her Royal Highness
INSP	Inspector/Inspekteur (Afrikaans)
INS EST	Insolvent Estate
JUDGE	Judge
JUSTICE	Justice
KAPT	Kaptein (Afrikaans)
KOL	Kolonel (Afrikaans)
KMDT	Kommandant (Afrikaans)
KONST	Konstabel (Afrikaans)
LADY	Lady
LORD	Lord
LT	Lieutenant
LT GENL	Lieutenant General
LT COL	Lieutenant Colonel
MADAM	Madam
MAJ	Major
MAJ GEN	Major General
MASTER	Master
ME	Mejuffrou/Mevrou (Afrikaans)
MEJ	Mejuffrou (Afrikaans)
MESSRS	Messieurs (male plural)
MEV	Mevrou (Afrikaans)
MRS	Mistress (female married singular)
MISS	Miss
MNR	Meneer (Afrikaans)
MR	Mister
MS	Miss
PASTOR/PSTR	Pastor
PASTOOR/PSTR	Pastoor (Afrikaans)
PRINCE	Prince
PRINCESS	Princess
PROF	Professor
RABBI	Rabbi

REGTER	Regter (Afrikaans)
REV	Reverend
SEN	Senator
SER	Sersant (Afrikaans)
SGT	Sergeant
SIR	Sir
SISTER	Sister
OTHER	For other title not listed above

8. Address (Srl No 17 & 18)

A valid address conforming to accepted postal standards, including a postal code, must be provided. If no postal code is available, the field must be populated with four zeroes, i.e. 0000.

NB. The use of any variations of: 'By Hand', 'Do Not Mail', 'Returned Post', 'Internal Mail', 'Fax numbers' or 'Hand to: Mr X' in the address field, or an incomplete address, is not permitted.

9. E-mail Address (Srl No 19) – Also see the description for Srl No 32

If the Beneficiary requires communication by e-mail this field is mandatory. In other words, the field is populated with the e-mail address of the Beneficiary if the mail option selected is 03.

NB. In terms of the Companies Act, 2008, an Issuers has the responsibility to give notices to, and/or to communicate with its securities holders, and the securities holders have the right to receive notices from the Issuer.

10. Classification Code (Srl No 22)

SECTOR	
CODE	INSTITUTIONAL SECTOR CODE
	<i>FOREIGN</i>
0100	Non-resident individuals & companies
	<i>MONETARY AUTHORITY</i>
0200	South African Reserve Bank & Corporation for Public Deposits
	<i>OTHER MONETARY INSTITUTIONS</i>
0300	Banks, Mutual Banks, Landbank & Postbank
	<i>PUBLIC INVESTMENT COMMISSIONERS</i>

0400	Public Investment Corporation (PIC)
	<i>INSURERS</i>
0510	Long Term Insurers
0520	Short Term Insurers (including Medical Schemes)
	<i>PENSION & PROVIDENT FUNDS</i>
0610	Private Pension & Provident Funds (Self-administered)
0620	Official Pension & Provident Funds
	(Transnet, Telkom, Department of Finance, Post Office)
	<i>OTHER FINANCIAL INSTITUTIONS</i>
0710	Unit Trusts
0720	Participation Mortgage Bond Schemes
0730	Financial Public Enterprises & Financial Auxiliaries
0740	Other Finance Companies & Sundry Financial Institutions
	<i>PUBLIC SECTOR</i>
0800	Central Government including Social Security Funds
0900	Provincial Governments
1000	Local Authorities
	<i>GOVERNMENT ENTERPRISES & OTHER PUBLIC SECTORS</i>
1110	National government non-financial enterprises
1120	Non-financial Public Enterprises (Corporations)
1130	Sundry Public Sector
	(Agricultural Control Boards, Universities & Technicons)
	<i>PRIVATE SECTOR COMPANIES (NON-FINANCIAL)</i>
1200	Non-profit Institutions, Co-operative Societies, Close Corporations
	Other Private Sector Companies
	<i>PERSONAL SECTOR</i>
1300	Individuals & Unincorporated Business Enterprises
1400	Nominee Companies

11. Consolidated Account Owner (Srl No 23)

If the assets of the Beneficiary are being administered by an asset, investment or fund manager, the name of the manager administering the fund may be populated in this field. The field is 2*35x.

12. Language Indicator (Srl No 24)

This field reflects the chosen language of the Beneficiary and is optional. At this stage only the following codes are available:

01 – English

02 – Afrikaans

The default code is 01 English.

13. Client Category (Srl No 25)

This field reflects the category of the Client e.g. RSA citizen etc. The applicable codes to be supplied are described in the above file layout. Client Category 0008 is to be used for a client that does not fall into Client Categories 0001-0007 and 0009.

Untraceable clients can also be categorised under Client Category 0008. However, for such clients, the Participant and the Client of a Participant must show reasonable efforts to trace the client to the satisfaction of Strate Supervision. Traced clients must be recategorized appropriately.

14. Client Identifier (Srl No 26 and 27)

These fields cater for the identification number of the Client, for example the identification number, company registration number, etc. The way in which the numbers are recorded is described in the file layout above.

For non-incorporated entities (e.g. stokvels, burial societies, etc.) this field must be populated with the identity number (or passport number, if a foreign citizen) of the designated representative of the entity, followed by trailing spaces.

A SARS tax number must be provided where one has been issued to a client.

15. Holdings (Srl No 31)

This is the quantity of Securities held.

16. Report Indicator (Srl No 32)

This field determines whether or not the Beneficiary requires annual reports, or other Issuer communications, to be sent directly to the Beneficiary by the Issuer. It also defines the method of communication i.e. postal service or e-mail.

3. Output File Layout

3.1 ISSUER/ISSUER AGENT LAYOUT

Srl No	Name	M/O/C	Field descriptions
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Mandatory Sequence A File Information

1.	Record Type Indicator	M	1n Value: 1
2.	File Name	M	23x
3.	File Sender ID	M	8x
4.	Holdings Date	M	8n (YYYYMMDD)
5.	Preparation Date	M	8n (YYYYMMDD)
6.	Newline character	M	Newline Character only

End of Sequence A File Information

Mandatory Repetitive Sequence B Security Details Information

7.	Record Type Indicator	M	1n Value: 2
8.	Identification of securities	M	12x
9.	Newline character	M	Newline Character only

Mandatory Repetitive Sequence B1 CSDP & CSA Holdings Details

10.	Record Type Indicator	M	1n Value: 3
11.	BPID	M	8x
12.	Business Partner Name	M	35x
13.	Business Partner Address	M	4*35x
14.	Business Partner Postal Code	O	13x

15.	Business Partner Email Address	O	70x
16.	Contact Person	O	35x
17.	Contact Phone	O	24x Phone number may be provided if available
18.	Central Securities Account	M	8n The 8 character Central Securities Account of the Participant in the Strate System used for Settlement of equity and bond Securities, and Units, as applicable
19.	Holdings	M	s15!d 12 integers followed by 3 decimal places with an implied comma. This field represents the holdings: S indicates that the field contains a sign, 15 indicates the number of characters present and d indicates the presence of decimals. The field is structured with leading zeros. The sign { carried at the end of the field indicates the holdings is positive. 893 (Positive) holdings would be reflected as:- 00000000089300{ Or as:- 000000000893000
20.	Reconciliation Indicator	M	1x Values: Y – Successful N – Fail
21.	Newline character	M	Newline Character only

Mandatory Repetitive Sequence B1a Client Holdings Details

22.	Record Type Indicator	M	1n Value: 4
23.	Account type	M	2nValues: 01 – Own Name Account 02 – Participant Nominee Account 03 – Beneficiary Account 04 – Authorised User Nominee Account 05 – FSCA Approved/Strate Recognised Nominee Account 06 – SDA 07 – SDA: Participant Nominee Account 08 – SDA: Authorised User Nominee Account 09 – SDA: FSCA Approved/Strate Recognised Nominee Account 10 – FSCA Approved/Strate Recognised Nominee holding a Securities Account under an Authorised User Nominee
24.	Registered Account number	M	16x
25.	Beneficiary Account number	C	16x (Mandatory when Account type is 03, not present for other Account types)
26.	Client Name	M	2*35x
27.	Title	C	10x
28.	Address	M	4*35x
29.	Postal Code	M	13x Official postal code standards to be used NB. Trailing spaces to be used where necessary. Field is left justified
30.	Email address	C	70x (If Annual Report Indicator is 03, then this field is Mandatory)
31.	Contact Phone	O	24x Phone number may be provided if available
32.	Country Code	C	2a (Mandatory when Account type is 01, 03 or 06 not mandatory for other Account types)

33.	Classification Code	C	4x (Mandatory when Account type is 01, 03 or 06 not mandatory for other Account types)
34.	Client Category	M	4!c Valid Values: 0001 – RSA Citizens 0002 – RSA Permanent Residents 0003 – Emigrants 0004 – Foreign Citizens 0005 – RSA Close Corporations 0006 – RSA Companies 0007 – Foreign Companies 0008 – Other Legal Persons 0009 – Trusts
35.	Client Identifier 1	M	30x Valid Values: 13!x (followed by trailing spaces)RSA ID Number for Categories: 0001, 0002 and 0003 30x Personal Reference Number for Category: 0004 30x Company Registration Number for Categories: 0005, 0006 & 0007 30x Legal Form Reference Number for Category: 0008 30x Trust Deed Registration Number for Category: 0009

36.	Client Identifier 2	C	30!x Valid Values: 10!x A tax number must be provided if the client has been issued with one Category 0008 – Untraceable client does not require a tax number, in which case the field should be filled with spaces
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37.	Holdings	M	s15!d (Mandatory for Account types 01, 02, 03, 04, 05, 06, 07, 08, 09 and 10) 12 integers followed by 3 decimal places with an implied comma. This field represents the holdings: S indicates that the field contains a sign, 15 indicates the number of characters present and d indicates the presence of decimals. The field is structured with leading zeros. The sign { carried at the end of the field indicates the holdings is positive. 893 (Positive) holdings would be reflected as:- 00000000089300{ Or as:- 000000000893000
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38.	Report Indicator	M	1a 01 – 03, indicates 'Yes' 01 – Full report by mail 02 – Abridged report by mail 03 – Electronic Notification by e-mail 04 – Indicates 'No'. Not required (default)
39.	Reconciliation Indicator	M	1x Values: Y – Successful N – Fail
40.	Newline character	M	Newline Character only

Mandatory Sequence B2 Account Reconciliation Details

41.	Record Type Indicator	M	1n Value: 5
42.	Central Securities Account	M	8n
43.	Total number of Registered Account and Beneficiary Account combination for the Central securities Account	M	6n The 8 character Safe Custody of the Participant in the Strate System used for Settlement of equity and bond Securities, and Units, as applicable
44.	Newline character	M	Newline Character only

Mandatory Sequence B3 Security & Account Reconciliation Details

45.	Record Type Indicator	M	1n Value: 6
46.	Identification of securities	M	12x
47.	Total number of CSAs for the specified ISIN	M	6n
48.	Newline character	M	Newline Character only

Mandatory Sequence B4 File Reconciliation Details

49.	Record Type Indicator	M	1n Value: 7
50.	File Name	M	23x
51.	Total number of ISINs for the file	M	6n

Field Specifications

1. Filename (Srl No 2)

Subfield	M/O/C	Field descriptions
Indicator	M	1a Value: T
Holdings Date	M	8n
Serial number	M	2n Value 01

2. File Sender ID (Srl No 3)

This is the BPID of Strate.

3. BPID (Srl No 11)

This is the BPID of the Participant.

4. Holdings (SRL No 19)

These are the holdings for the specified CSA.

5. Registered Account Number (Srl No 24)

This is the Own Name or Nominee Account in the books of the Participant.

6. Beneficiary Account Number (Srl No 25)

This is the Client account under the registered account in the books of the Participant, Authorised User or FSCA Approved/Strate Recognised Nominee.

7. Authorised User Nominee Holdings (Srl No 37)

These are the holdings specified by the Authorised User for a FSCA Approved/Strate Recognised Nominee holding a Securities Account under an Authorised User Nominee.

8. Report Indicator (Srl No 38)

This field determines whether or not the Beneficiary requires annual reports, or other Issuer communications, to be sent directly to the Beneficiary by the Issuer. It also defines the method of communication i.e. postal service or e-mail.