



DIRECTIVE	NAME	SPECIAL GAZETTE
<b>Section A: CSD PARTICIPATION</b>		
SA.1	Strate Participation Criteria and Application	S12-2016 – 22 November 2016
SA.2	Requirements for Financial Soundness	S12-2016 – 22 November 2016
SA.3	Client Acceptance Procedure	S12-2016 – 22 November 2016
SA.4	Requirements for Own Name Clients	S12-2016 – 22 November 2016
SA.5	Provision of Bi-Annual Statements	S12-2016 – 22 November 2016
SA.6	Strate Compliance Officer Appointments	S12-2016 – 22 November 2016
SA.7	Requirements for Nominees to operate in the CSD environment	S12-2016 – 22 November 2016
SA.8	File Layout for Disclosure of Beneficial Ownership (BND) – Equities and Bonds	S11-2016 – 28 October 2016
SA.9	Segregated Depository Accounts: Opening, Administration and other Procedures	S12-2016 – 22 November 2016
SA.10	Risk Management	S14-2016 – 25 November 2016
SA.11	Claims procedure from a Cash Suspense Account in a Participant’s Insolvency Proceeding	S12-2016 – 22 November 2016
<b>Section B: DEMATERIALISATION</b>		
SB.1	Procedure for Conversion of Certificated Equities to Uncertificated Equities and Vice Versa	S4-2016 – 23 June 2016
SB.2	Procedure for Conversion of Certificated Bonds to Uncertificated Bonds and Vice Versa	S12-2016 – 22 November 2016
<b>Section C: EQUITIES</b>		
SC.1	Operational Market Windows – On-market – Equities - JSE	S14-2016 – 25 November 2016
SC.2	Operational Market Windows – Off-market – Equities - JSE	S14-2016 – 25 November 2016
SC.3	Special Circumstances for the Reversal of Commitments – Equities	S4-2016 – 23 June 2016
SC.4	Processing of Corporate Actions – Equities	S13-2016 – 23 November 2016
SC.5	Proxy Voting Procedure – Domestic Companies – Equities	S5-2016 – 25 July 2016
SC.6	Proxy Voting Procedure – Foreign Incorporated Companies – Equities	S5-2016 – 25 July 2016
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SD.2	Operational Market Windows – Off-Market – Bonds	B1-2016 – 2 August 2016
SD.3	Processing of Capital Events – Bonds	B4-2015 – 4 June 2015
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<b>Section E: MONEY MARKET SECURITIES</b>		
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SE.2	Master File Administration – Money Market Securities	M5-2016 – 22 November 2016
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SE.4	Electronic Trade Reporting, Matching, Clearing, Commitment and Settlement – Money Market Securities	M5-2016 – 22 November 2016
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SF.6	Fines Schedule – On-Exchange and Off-Exchange ZAR X	Z1-2016 – 1 September 2016
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SG.1	Operational Market Windows and Securities Processing	Z1-2016 – 1 September 2016