

## SPECIAL GAZETTE OF STRATE LTD

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22 January 2004

### S1-2004 CSD RULES

The following amendments were published in the Government Gazette No. 25833 dated 10<sup>th</sup> December 2003 and came into effect on 9<sup>th</sup> January 2004:

1. Words in bold and underlined with a solid line (\_\_\_\_\_ ) indicate the insertions in the existing rules.
2. Words in bold and in square brackets ([ ]) indicate omissions from existing rules.

**[7.1.6.1 The external auditor of the CSD participant shall annually report to the controlling body whether or not the auditor concurs with the reports required in terms of rule 7.1.3. If the auditor does not concur with such report, the auditor shall provide reasons for such non-concurrence.]**

7.1.6.**[2]1** The external auditor of the CSD participant shall submit a **factual findings** report annually to the controlling body, within 120 calendar days after the financial year-end of the CSD participant **[expressing an opinion on whether]: stating that**

**[7.1.6.2.1 the integrity, fit and proper standing of the persons that control and manage the CSD participant continues to exist; and]**

7.1.6.1.1 the systems and procedures relating to risk reduction are adequate**[ly]** and in all material respects in operation to ensure the safe custody and administration of securities deposited with the CSD participant, particularly with regard to processing, physical, logical security, back-up and contingency controls.”

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